

124858/GETS 5307.1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Shea et al.
Serial No. Not yet assigned
Filed October 21, 2003
Confirmation No. Not yet assigned
For APPARATUS AND METHOD FOR AUTOMATIC DETECTION AND AVOIDANCE OF
TURBOCHARGER SURGE ON LOCOMOTIVE DIESEL ENGINES

October 21, 2003

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SIR:

INFORMATION DISCLOSURE STATEMENT

* In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the
duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit the attached PTO/SB/08A for
consideration by the Patent and Trademark Office in the above-entitled application and to be
made of record therein.

Respectfully submitted,



Frank R. Agovino, Reg. No. 27,416
SENNIGER, POWERS, LEAVITT & ROEDEL
One Metropolitan Square, 16th Floor
St. Louis, Missouri 63102
(314) 231-5400

FRA/ROE/cjl

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PTO/SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	Not yet assigned
				Filing Date	10/21/03
				Confirmation Number	Not yet assigned
				First Named Inventor	Shea
				Group Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
Sheet	1	of	2	Attorney Docket No.	124858/GETS 5307.1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	1	3,557,549		Webster	01-26-1971
	2	3,988,894		Melchior	11-02-1976
	3	4,287,717		Oldfield et al.	09-08-1981
	4	4,490,622		Osborn	12-25-1984
	5	5,207,063		Blake	05-04-1993
	6	5,307,632		Gottmoller et al.	05-03-1994
	7	5,526,645		Kaiser	06-18-1996
	8	5,605,044		Zimmer et al.	02-25-1997
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	14	6,079,211		Woollenweber et al.	06-27-2000
	15	6,105,555		Weber et al.	08-22-2000

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

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	16	6,112,523		Kamo et al.	09-05-2000
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	20	6,243,628		Bliley et al.	06-05-2001
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	26	6,327,980		Chen et al.	12-11-2001
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